

## Promotional Material Checklist – Registered Managed Investment Scheme

|                                     |   |
|-------------------------------------|---|
| <b>Registered Scheme</b>            | Barings Liquidity Investment Strategy     |
| <b>Investment Manager</b>           | Barings Australia Pty Ltd ACN 140 045 656 |
| <b>Type of Promotional Material</b> | BLIS factsheet – Apr 2025                 |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |  |   |             |  |
|--|--|---|-------------|--|
| Ref No:  | Requirements for Promotional Material  | Reference   | Yes/No/ N.A | Comment (if any)   |
| <b>1A</b>  | Scheme details (eg correct name and ARSN)  | CA s.601EC  | Yes         | Although CA s601EC only requires the ARSN to be quoted in documents lodged with ASIC it is considered best practice and an OIG requirement that it be quoted in all public documents |
| <b>1B</b>  | RE details (eg correct name, ACN/ABN and AFSL number)  | CA s.53(1) & (2)<br>CA 1018A(c) CA 912F<br>& REG 7.06.01C | Yes         | Although CA 912F & RE 7.06.01C do not strictly require AFSL No. to be included it is best practice and an OIG requirement.   |
| <b>2</b>   | The promotional material does not involve the unsolicited contact of a retail investor whether partly or wholly by telephone, face-to-face meeting or using technology or other real-time interaction that is in the nature of a discussion or conversation and creates an expectation of immediate response | CA.992A   | Yes         |  |
| <b>3</b>   | <b>Registered Schemes – PDS on issue:</b> Where Promotional Material advertises the Fund or is a statement that is reasonably likely to induce a person to invest in the Fund the Promotional Material must include the following:   |   |             |  |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |  |                                       |             |                        |
|--|--|---------------------------------------|-------------|------------------------|
| Ref No:  | Requirements for Promotional Material  | Reference                             | Yes/No/ N.A | Comment (if any)       |
|  | <ul style="list-style-type: none"> <li>“The offer of units in the Fund is made in accordance with a PDS dated [#]and a target market determination (TMD)each issued by [#OIG RE#] [#ACN of OIG RE#]”</li> <li>The PDS and TMD can be obtained from [#insert details eg website#]</li> <li>“Investors should consider the PDS and TMD before deciding whether to invest in the Fund or continue to hold units in the Fund”</li> </ul> | CA s.1018A(1)(c)<br>CA s.1018A(1)(ca) | n/a         |                        |
|  |  | CA s.1018A(1)(d)                      | Yes         | Outlined in disclaimer |
|  |  | CA s.1018A(1)(e)                      | Yes         | Outlined in disclaimer |
| <b>4</b>   | <b>Registered Scheme – PDS not yet available:</b> Where no PDS is currently on issue but it is reasonably likely that a PDS for the Fund will become available, any Promotional Material that advertises the Fund or is a statement that is reasonably likely to induce a person to invest in the Fund, must include the following:  |                                       |             |                        |
|  | the identity of the relevant OIG issuer  | CA s.1018A(2)(c)                      | n/a         | PDS has been issued    |
|  | A statement that a PDS and a target market determination (TMD) for the Fund will be made available with the Fund is launched or otherwise becomes available  | CA s.1018A(2)(d)<br>CA s.1018A(2)(ca) | n/a         | PDS has been issued    |
|  | A statement of when the PDS and TMD are expected to be available   | CA s.1018A(2)(e)                      | n/a         | PDS has been issued    |
|  | A statement of where the PDS and TMD is expected to available [eg OIG website#]  | CA s.1018A(2)(e)                      | n/a         | PDS has been issued    |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |   |                                  |                     |
|--|---|---|----------------------------------|---------------------|
| Ref No:  | Requirements for Promotional Material   | Reference   | Yes/No/ N.A                      | Comment (if any)    |
|  | <ul style="list-style-type: none"> <li>A statement that investors should consider the PDS and TMD before deciding whether to invest in the Fund or continue to hold units in the Fund”</li> </ul>   | CA s.1018A(2)(f)  | n/a                              | PDS has been issued |
| <b>5</b>   | <p><b>All promotional material</b> must be consistent with OIG’s Good Disclosure Principles, the Corporations Act, ASIC Act and all related regulatory guides including the following summaries (which are offered by way of guidance only and Investment Managers confirm they have read the reference materials):</p>   |   | Yes                              |                     |
| <b>5.1</b>   | <p><b>Returns, features, benefits and risks:</b> Promotional Material must give a balanced message about the returns, features, benefits and risks associated with the Fund. Benefits should not be given undue prominence compared with risks.</p> <p>Where a Fund has a high level of risk or special risk factors that would not be apparent to the audience, Promotional Material for Fund should clearly disclose the nature of those risks.</p> <p>Where a Fund is significantly different from other Funds that an investor would ordinarily expect to be similar, the Promotional Material should give prominence to these differences.</p> | <p>RG 234.33 –<br/>RG 234.46<br/>RG 45.24</p> <p>OIG Good Disclosure Principles</p> <p>OIG Good Disclosure Principles</p> | <p>Yes</p> <p>Yes</p> <p>Yes</p> |                     |
| <b>5.2</b>   | <p><b>Changing circumstances:</b> Where Promotional Material refers to a benefit that is likely to change if circumstances change, the material must include a prominent statement that the circumstances may change.</p> <p>Where Promotional Material refers to a particular feature or benefit being available, that feature or benefit should remain available for a</p>  | <p>RG 234.38</p> <p>RG234.36<br/>s.12DG ASIC Act</p>  | <p>Yes</p> <p>Yes</p>            |                     |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |  |             |                  |
|--|---|--|-------------|------------------|
| Ref No:  | Requirements for Promotional Material   | Reference  | Yes/No/ N.A | Comment (if any) |
| 5.3  | reasonable period having regard to the market and the nature of the material.   | RG 234.40  | Yes         |                  |
|  | <p><b>Returns:</b> Any returns quoted are net of fees and costs to the greatest extent practicable.</p> <p>Where the Promotional Material relates to a Mortgage Scheme, quoted returns:</p> <ul style="list-style-type: none"> <li><b>must</b> be accompanied by prominent disclosure that there is a risk that the investment may achieve lower than expected returns; and</li> <li>avoid terms like “fixed income”.</li> </ul>                          | RG 45.24<br>RG 45.149 -RG 45.150<br>RG 45.157                  | n/a         |                  |
| 5.4  | <b>Headline Statements:</b> Must not be misleading or inconsistent with other content or require qualification. Investors should not need to go to another website or document to correct a misleading impression.  | RG 234.47 –<br>RG 234.49<br><br>OIG Good Disclosure Principles | Yes         |                  |
| 5.5  | <b>Warnings, disclaimers, qualifications and fine print:</b> should not be inconsistent with other content in the Promotional Material and must have sufficient prominence in the Promotional Material to effectively convey key information to a reasonable member of the audience on first viewing the advertisement. Consumers should not need to go to another website (or other page of the website) or document to correct a misleading impression. | RG 234.50 –<br>RG 234.53<br><br>OIG Good Disclosure Principles | Yes         |                  |
| 5.6  | <b>Repayment of Principal Investment:</b> If Promotional Material relates to a mortgage scheme it contains a prominent disclosure that investors risk losing some or all of their money.  | RG 45.24<br>RG 45.147 –<br>RG 45.148                           | n/a         |                  |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |  |             |  |
|--|---|--|-------------|--|
| Ref No:  | Requirements for Promotional Material   | Reference  | Yes/No/ N.A | Comment (if any)   |
| 5.7  | <p><b>Fees and Costs:</b> Where a fee or cost is referred to in the Promotional Material, it gives a realistic impression of the overall level of fees and costs an Investor is likely to pay, including any indirect fees or costs and where. It is misleading to claim a Fund is “fee free” when in a fact a fee is charged but may be waived where an investor meets certain criteria.</p> <p>The effect of the fees and costs on returns for the Fund are clearly stated and highest fee is used in calculations.</p> | RG 234.54 –<br>RG 234.57<br><br>RG 45.161<br><br>OIG Good Disclosure Principles<br><br><br>RG 234.57 | Yes         |  |
| 5.8  | <p><b>Approvals or Endorsements:</b> The Promotional Material does not falsely represent that the Fund, the RE or the Investment Manager has an endorsement or approval that it does not actually have (eg ASIC approval when it is only registered by ASIC).</p>   | CA s.1041E<br>CA s.1041H<br><br>s.12DA ASIC Act<br><br>RG 234.101                                    | Yes         |  |
| 5.9  | <p><b>Testimonial / Celebrity Endorsements:</b> Investment Manager confirms any testimonial or celebrity endorsement contained in the Promotional Material is appropriate in the circumstances and is truthful and only contains reasonably held, informed opinions about the Fund.</p>   | RG 234.102 -<br>RG 234.104   | Yes         |  |
| 5.10   | <p><b>Comparisons:</b> Are only made between products that have sufficiently similar features or, where the Promotional Material compares different products, the differences are made clear in the material. Comparisons made about returns use current information that is complete and accurate in all respects and consistent with how investment vehicles are treated in the fees and costs disclosures.</p>   | RG 234.72 –<br>RG 234.79<br><br>RG 97.338 –<br>RG 97.339<br><br>RG 274                               | Yes         | [#See schedule 3 for Good Disclosure Principles for comparisons to Funds with different fees and costs disclosures#] |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |  |  |  |
|--|---|--|--|--|
| Ref No:  | Requirements for Promotional Material   | Reference  | Yes/No/ N.A                                    | Comment (if any)   |
|  | Where the Promotional Material relates to a Mortgage Scheme: <ul style="list-style-type: none"> <li>it <b>must</b> state that a mortgage scheme is <b>not</b> a bank deposit; and</li> <li>should not suggest that: a mortgage scheme is, or compares favourably to, a bank deposit; or there is little or no risk of the investor losing their principal or not being repaid.</li> </ul>   | RG 45.24<br>RG 45.153 –<br>RG 45.156   | n/a  |  |
| 5.11   | <b>Ratings:</b> If the Promotional Material refers to a rating, the material: <ul style="list-style-type: none"> <li>discloses the rating is issued by a ratings agency that:               <ul style="list-style-type: none"> <li>is authorised under its AFSL to provide financial advice to retail clients; or</li> <li>is not so authorised</li> </ul> </li> <li>properly explains the rating itself or includes details of where an investor can obtain further information about the meaning of the rating and the rating scale; and</li> <li>state that ratings are only one factor to be taken into account when deciding whether to invest in the Fund.</li> </ul> | RG 234.80 –<br>RG 234.85<br>RG 45.152<br>RG 46.166<br><br>RG 45.24, RG 45.151 –<br>RG 45.152<br>RG 46.165<br><br>RG 45.24 RG 45.151<br>RG 46.165 | n/a  |  |
| 5.12   | <b>Past Performance and Forecasts:</b> Past performance information is accompanied by a warning that past performance is not indicative of future performance.<br><br>Forecasts about the future performance the Fund are based on reasonable assumptions and Promotional Material states that the forecasts are not guaranteed to occur.   | RG 234.87 –<br>RG 234.88<br>RG 53<br><br>RG 234.89 –<br>RG 234.90<br>RG 170  | Yes<br><br><br><br><br><br><br><br><br><br>Yes | Outlined in disclaimer and under the performance table<br><br><br><br><br><br><br><br><br><br>[#Comments should include basis for the reasonable assumptions used in forecasts and may attach a spread-sheet#] |

| SCHEDULE 1 - SECTION A   |  |   |             |  |
|--|--|---|-------------|--|
| Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below: |  |   |             |  |
| Ref No:  | Requirements for Promotional Material  | Reference   | Yes/No/ N.A | Comment (if any)   |
| 5.13   | <p><b>No Prohibited Phrases:</b> Promotional Material does not include words:</p> <ul style="list-style-type: none"> <li>that suggest risk-free investing eg “free, safe, secure, secured, guarantee and guaranteed”</li> <li>that suggest investing in the Fund is similar to investing in a bank deposit or term deposit</li> <li>that suggest the Fund, the RE or the IM is approved by ASIC or similar</li> <li>that suggest the Promotional Material was prepared by someone who is independent, impartial, unbiased</li> <li>that are jargon or overly-technical</li> <li>like “hedge fund” when the fund is not a hedge fund</li> <li>that have strong connotations that make them inappropriate to use in customer advertising.</li> </ul> | <p>RG 234.91<br/>RG 45.154</p> <p>RG 45.153</p> <p>RG 234.101</p> <p>RG 234.98</p> <p>RG 234.94</p> <p>RG 240.5<br/>RG 234.92</p> | Yes         | [#If “hedge fund” is used in promotional material, the fund will be deemed to be a hedge fund and RG 240 apply#] |
| 5.14   | <p><b>Target Audience:</b> Promotional Material should be capable of being clearly understood by the audience that might reasonably be expected to see the Promotional Material.</p> <p>Where possible, a distribution channel for the Promotional Material should be used to ensure only the target audience fo the Material is reached.</p>  | <p>RG 234.105 –<br/>RG 234.106</p> <p>OIG Good Disclosure Principles</p>  | Yes         |  |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |  |  |                       |  |
|--|--|--|-----------------------|--|
| Ref No:  | Requirements for Promotional Material  | Reference  | Yes/No/ N.A           | Comment (if any)   |
|  | <p>Promotional Material does not state or imply that a product is suitable for particular types of investors unless the promoter has assessed that the product is suitable for that class of investor.</p> <p>If the Promotional Material relates to a complex product (eg an RG 240 scheme) that is only appropriate for a limited group of people, the Promotional Material is targeted at that limited audience of investors.</p>                     | <p>RG 234.107 –<br/>RG 234.108<br/>RG 45.24 RG 45.162</p> <p>RG 234.109</p>  | <p>Yes</p> <p>Yes</p> | <p>[If Promotional Material does recommend the Fund for a particular class of Investors IM must comment why they have been selected]</p>   |
| 5.15   | <p><b>Target Market Determination:</b> The Promotional Material:</p> <ul style="list-style-type: none"> <li>is consistent with the likely objectives, financial situation and needs of the intended investors and</li> <li>makes reference to the TMD and where it can be found, as appropriate.</li> </ul>  | RG 274   | Yes                   | [NB In the event of a new TMD being required because of a TMD Review, the manager has reviewed all promotional material to ensure it is consistent with the new TMD]   |
| 5.16   | <p><b>Consistency with Disclosure Documents:</b> Promotional Material is consistent with information contained in the PDS and TMD where that material:</p> <ul style="list-style-type: none"> <li>draws attention to specific product features; and</li> <li>describes the intended investment exposure for the Fund’s investments and the fees and costs disclosure in the PDS does not disclose costs in relation to an interposed vehicle.</li> </ul> | <p>RG 45.163- RG 45.164<br/>RG 46.163 –<br/>RG 46.164<br/>RG 274</p> <p>RG 234.118 -<br/>RG 234.127<br/>RG97.330 – RG97.339<br/>RG 96.326<br/>RG 45.24</p> | <p>Yes</p> <p>Yes</p> | <p>[NB Where Fees and Costs disclosed in PDS are based on investments held by fund not being “interposed vehicles” Promotional Material must not be inconsistent with that. For example- if PDS says Fund is equity Fund that invests in REITs then Promotional Material must not imply investment is intended to give exposure to property]</p> |
| 5.17   | <p><b>Withdrawal periods, withdrawal rights and investment periods:</b> Where the promotional material relates to a mortgage scheme, and it refers to withdrawal periods, withdrawal rights or investment periods should include details of any restrictions on withdrawals that might apply.</p>  | <p>RG 45.24<br/>RG 45.158 –<br/>RG 45.160</p>  | n/a                   |  |



| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |  |             |                  |
|--|---|--|-------------|------------------|
| Ref No:  | Requirements for Promotional Material   | Reference  | Yes/No/ N.A | Comment (if any) |
| 5.18   | <b>Photographs, Diagrams, Images and Examples:</b> Investment Manager confirms if photographs and images are used they: <ul style="list-style-type: none"> <li>do not contradict, detract from or reduce the prominence of any warnings, disclaimers or qualifications; or</li> <li>do not misrepresent the nature, scale or stage of the Fund, Investment Manager or OIG entity</li> </ul> Graphical presentations used in the Promotional Material are not ambiguous or overly complicated. | RG 234.122 –<br>RG 234.127<br><br>OIG Good Disclosure Principles | Yes         |                  |
| 5.19   | <b>Awards:</b> If the Promotional Material refers to an award, Investment Manager confirms <ul style="list-style-type: none"> <li>award grantor is clearly identified</li> <li>award is explained appropriately</li> <li>currency of the award is included</li> </ul>   | RG 234.86  | n/a         |                  |
| 6<br><br>A   | <b>Periodic Update for RG 46 Unlisted Property Schemes</b><br><br>Although it is not necessary to repeat information if it has not changed a periodic update should include: <p>(a) an overview of any material changes to the benchmark and disclosure principle information since the last update (as far as the responsible entity is aware);</p> <p>(b) if there have been no material changes, confirmation that this is the case;</p>   | CP Control 42<br><br>RG 46.145                                   | n/a         |                  |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |  |                |             |  |
|--|--|----------------|-------------|--|
| Ref No:  | Requirements for Promotional Material  | Reference      | Yes/No/ N.A | Comment (if any)                             |
|  | (c) details of how to access the scheme’s consolidated disclosure document on the website (if available there); and<br><br>(d) confirmation that investors are entitled to a paper copy of the benchmark and disclosure principle information on request.  |                |             |  |
| <b>B</b>   | Does the material include disclosures relating to:<br><br>Disclosure principle 1 – gearing ratio<br><br>Disclosure principle 2 – interest cover ratio<br><br>Disclosure principle 3 – fund borrowing<br><br>Disclosure principle 4 – portfolio diversification<br><br>Disclosure principle 5 – related party transactions<br><br>Disclosure principle 6 – distribution practices<br><br>Disclosure principle 7 – withdrawal arrangements<br><br>Disclosure principle 8 – net tangible assets | CP Control 42  | n/a         | Must be provided to investors every 6 months |
| <b>7</b>   | <b>Fund Updates – Performance</b><br>At least annually the Registered Scheme must disclose, information about the performance of the scheme relative to the investment objectives of the scheme reasonably believed to be sufficient for the Retail Clients to make an informed assessment of the performance of the scheme for the following periods:<br>(i) the period of 1 year ending at the end of the most recent financial year of the scheme;  | s.1017D(5)(fa) | Yes         |  |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |  |  |             |                  |
|--|--|--|-------------|------------------|
| Ref No:  | Requirements for Promotional Material  | Reference  | Yes/No/ N.A | Comment (if any) |
|  | (ii) if the scheme has been a registered scheme for less than 5 years at the end of the most recent financial year of the scheme, the period starting on the date the scheme became a registered scheme and ending at the end of that financial year;<br>(iii) if the scheme has been a registered scheme for at least 5 years at the end of the most recent financial year of the scheme, the period of 5 years ending at the end of that financial year. |  |             |                  |
| <b>8</b>   | <b>Media Specific Guidance: this section only required to be completed where the Promotional Material is Mass Media, Audio, YouTube etc</b>  |  |             |                  |
| <b>8.1</b>   | <b>Mass media:</b> Investment Manager confirms: <ul style="list-style-type: none"> <li>actual audience that is likely to see the Promotional Material will consider it accurate and balanced in all the circumstances;</li> <li>the actual audience likely to see the promotion is reasonably likely to be within the target market; and</li> <li>Promotional Material is clearly distinguished from normal program or editorial content.</li> </ul>       | RG 234.132 –<br>RG 234.136<br>RG 274                             | n/a         |                  |
| <b>8.2</b>   | <b>Audio Advertisements:</b> Investment Manager confirms warnings, disclaimers and qualifications are read at a speed that is comprehensible to an average listener.   | RG 234.137-<br>RG 234.139  | n/a         |                  |
| <b>8.3</b>   | <b>Film and Video Advertisements:</b> Investment Manager confirms information about risks and any warnings can be easily understood by an average viewer on the first viewing of the Promotional Material and will not undermined by distracting sounds or images. Information about risks and any warnings are displayed for a reasonable period of time.   | RG 234.140 –<br>RG 234.142<br><br>OIG Good Disclosure Principles | n/a         |                  |

**SCHEDULE 1 - SECTION A**

Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:

| Ref No: | Requirements for Promotional Material  | Reference   | Yes/No/ N.A                      | Comment (if any) |
|---------|--|---|----------------------------------|------------------|
| 8.4     | <p><b>Internet: Investment Manager confirms:</b></p> <ul style="list-style-type: none"> <li>Any ad words or search phrases used are not misleading or deceptive (for example a bond fund must not use search phrases such a term deposit or safe investment)</li> <li>overall impression created by an internet banner advertisement when viewed by itself for the first time is appropriate in all the circumstances;</li> <li>any information should be comprehensible to an average consumer on the first viewing of the advertisement</li> <li>appropriate to use the proposed new media channels for advertising and content limitations do not mean there is insufficient space to provide balanced information; and</li> <li>consumers can keep a record of the Promotional Material, including any disclaimers or warnings.</li> </ul> | <p>RG 234.150</p> <p>RG 234.143-<br/>RG 234.151</p> | <p>n/a</p> <p>n/a</p> <p>n/a</p> |                  |
| 8.5     | <p><b>Outdoor Advertising:</b> Investment Manager confirms the conditions under which the Promotional Material will be viewed (e.g. from a distance or from a moving vehicle) are appropriate when considering whether the overall impression of the material is misleading or deceptive.</p>  | RG 234.152 –RG 234.154                              | n/a                              |                  |

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|--|---|--|-------------|---|
| Ref No:  | Requirements for Promotional Material   | Reference  | Yes/No/ N.A | Comment (if any)  |
| <b>9</b>   | <b>General Confirmations in Respect of all Promotional Material:</b> The Investment Manager confirms in relation to the Promotional Material:   |  |             |   |
| <b>9.1</b>   | <b>Not Misleading or Deceptive in all the Circumstances:</b> It does not contain any misleading or deceptive statements or statements that would breach any Act or Regulation including those referred to in schedule 5 of OIG’s Promotion, Periodic Reporting and Communications Policy. | Sections of CA and ASIC Act identified in sched 5 of OIG’s Policy. | Yes         |   |
| <b>9.2</b>   | All spelling and grammar checks have been performed on the Promotional Material.  |  | Yes         |   |
| <b>9.3</b>   | If required, all sign offs (i.e. legal, compliance, or tax) and approval (eg. Board Approval) have been obtained.   |  | Yes         |   |
| <b>9.4</b>   | All financial information and sources (including returns, prices, percentages) have been verified.  |  | Yes         | [#Investment Manager may attach copies of verification material#] |
| <b>9.5</b>   | Promotional Material will be uploaded to IM’s/Fund’s website?   |  | Yes         | Factsheet is uploaded onto Barings and ONE website                |
| <b>9.6</b>   | Where the Promotional Material refers to a third party, the Investment Manager has obtained their consent (including any consents relating to copyright and other relevant intellectual property).  |  | n/a         | [#Investment Manager may attach a copy of the consent#]           |
| <b>9.7</b>   | Appropriate RE Disclaimer included (see Schedule 4 OIG’s Promotion, Periodic Reporting & Communication Policy).   |  | Yes         |   |

| <b>SCHEDULE 1 - SECTION A</b><br><b>Investment Manager confirms that the Promotional Material complies with the Requirements marked “Yes” below:</b> |   |           |             |                  |
|--|---|-----------|-------------|------------------|
| Ref No:  | Requirements for Promotional Material   | Reference | Yes/No/ N.A | Comment (if any) |
| 9.8  | Once Promotional Material is published, the Investment Manager confirms it will be regularly reviewed and monitored to confirm it remains “up to date”. |           | Yes         |                  |

Name: Emmanuel Tumini \_\_\_\_\_ Position: Associate Director \_\_\_\_\_ Signature:  \_\_\_\_\_ Date: 15 May 2025 \_\_\_\_\_

Name: Jonathan Baird \_\_\_\_\_ Position: Senior Director \_\_\_\_\_ Signature:  \_\_\_\_\_ Date: 15 May 2025 \_\_\_\_\_

| SCHEDULE 1 - SECTION B<br>To be completed by RE |  |            |                     |
|---|--|------------|---------------------|
| Ref No:   | Confirmations  | Yes/No/N.A | Comment (if any)    |
| 1   | Review conducted by OIG Operations Manager and Director, Operations? | Yes        |                     |
| 2   | Confirmation as to whether any legal review is required/obtained?    | No         |                     |
| 3   | OIG's comments have been incorporated in the Report?                 | N/A        | No comment provided |
| 4   | Manager's checklist and sign offs (if applicable)                    | Yes        |                     |
| 5   | Website upload/update by IM/Fund/OIG                                 | Yes        |                     |

**Review and Approval by OIG Operations Team:**

**Name:** Ernest Nzigamasabo **Position:** Manager, Trustee Services **Signature:**  **Date:** 16/05/2025

**Name:** Glenn White **Position:** Director, Trustee Services **Signature:**  **Date:** 16/05/2025